SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Herlihy John	3. Issuer Name and Ticker or Trading Symbol <u>Ellington Residential Mortgage REIT</u> [EARN]						
(Last) (First) (Middle) 53 FOREST AVENUE		4. Relationship of Reporting Pers (Check all applicable) Director	10% Owner	(Mor	5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) OLD GREENWICH CT 06870		X Officer (give title below) Chief Operating	Other (speci below) Officer	- 0.10	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City) (State) (Zip)							
Table I - Non-Derivative Securities Beneficially Owned							
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct or Indirect (I) (Instr. 5)	(D) (Instr.	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable a Expiration Date (Month/Day/Year)	nd 3. Title and Amount of Secur Underlying Derivative Securi	ty (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Expirat Exercisable Date	on Title	Amount	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Explanation of Responses:							

Remarks:

Exhibit 24 - Power of Attorney

No securities are beneficially owned.

/s/ Jason Frank, as attorney-infact for JR Herlihy 0

04/02/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.